



# TRADEO

FINANCIAL ADVISORY AND INTERMEDIARY  
SERVICES DISCLOSURE



## INTRODUCTION

Disclosure Notice in terms of Financial Advisory and Intermediary Services Act No. 37 (2002) "FAIS" of Tample Capital (Pty) Ltd relating to the provision of financial services.

As a prospective client, you have the right to information relating to our professional services as required in terms of the FAIS Act.

PLEASE NOTE THAT YOU WILL BE ASKED TO ACKNOWLEDGE RECEIPT OF THIS DOCUMENT AT THE ACCOUNT OPENING STAGE THEREBY ACKNOWLEDGING AND AGREEING TO BE BOUND BY THE CONTENTS HEREIN AND WE THEREFORE REQUIRE THAT YOU READ IT CAREFULLY.

Tample Capital (Pty) Ltd is authorized and regulated by the Financial Services Board in South Africa, FSP license number 46452.

Tample Capital (Pty) Ltd ("Tample") provides execution only services and enters into principal to principal transactions with its clients, on prices shown on the Tample trading platform. These transactions are not traded on any exchange.

**Tample is authorised in respect of financial services for the following products:**

**Category description Intermediary**

**Other**

**FSP licence number: 46452**

**Advice Non-Automated: Category I**

**Derivative instruments: Yes**

**Money Market Instruments: Yes**

**Shares: Yes**

## AUTHORISED REPRESENTATIVES

Christopher John Slater-Jones is the Key Individual and representative of Tample, and has been duly authorised to render advisory and intermediary services as defined in terms of the FAIS Act in respect of the products we are licenced for and meets the prescribed fit and proper requirements. Tample accepts legal responsibility for those activities that the representative performed within the scope of implementing his employment contract/mandate.

## FEES AND CHARGES

Our fees and charges are clearly displayed on our website and trading platforms. Any additional costs that may be applicable will be disclosed to you in advance.

Compliance with the FAIS Act is monitored by Moonstone Compliance (Pty) Ltd. The registered compliance officer is Val Davel, who is contactable on 0837648637 or Val@moonstonecompliance.co.za

## CONFIDENTIALITY

Potential conflicts of interest are inherent in any business and therefore it is not the aim of the FSP to avoid all conflicts but rather to take steps to identify and manage conflicts of interest to ensure that our clients are not unduly prejudiced.

Tample has a conflict of Interest policy which can be viewed on the website.

## COMPLAINTS

If you are dissatisfied with any aspect of our service, you may contact [Support@global.tradeo.com](mailto:Support@global.tradeo.com).

If you cannot settle your complaint with us, you are entitled to refer it to the FAIS Ombud. The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice or intermediary service that may have been given to you. You may contact the Ombud with the following details:

### Physical address:

Kasteel Park Office Park, Orange Building, 2nd Floor, 546 Jochemus Street, Erasmus Kloof, Pretoria, 0048

**Tel:** 012 470 9080 / 012 762 5000

**Email:** [info@faisombud.co.za](mailto:info@faisombud.co.za)

**Website:** [www.faisombud.co.za](http://www.faisombud.co.za)

## GENERAL

Tample holds professional indemnity insurance.